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*Attorneys for Michael Goldberg,  
Trustee of the PFI Trust*

**UNITED STATES BANKRUPTCY COURT**  
**NORTHERN DISTRICT OF CALIFORNIA**  
**SAN FRANCISCO DIVISION**

In re  
PROFESSIONAL FINANCIAL  
INVESTORS, INC., *et al.*,  
Debtors.

Case No. 20-30604  
(Jointly Administered)

Chapter 11

Michael Goldberg, Trustee of the PFI Trust,  
Plaintiff,

Adv. Proc. No. 24-03034

v.

**CERTIFICATE OF SERVICE**

Leslie Michelle Wallach, Manuel A. Romero,  
and Charlene Albanese,  
Defendant.

STATE OF CALIFORNIA )  
CITY OF SAN FRANCISCO )

I, Oliver Carpio, am employed in the city and county of San Francisco, State of California. I am over the age of 18 and not a party to the within action; my business address is One Sansome Street, 34th Floor, Suite 3430 San Francisco, CA 94104.

On February 21, 2025, I caused to be served the following documents in the manner stated below:

- FIRST AMENDED COMPLAINT FOR DAMAGES FOR BREACH OF FIDUCIARY DUTY, AND TO EQUITABLY SUBORDINATE CLAIMS PURSUANT TO 11 U.S.C. § 510(C)(1)**

<input type="checkbox"/>	TO BE SERVED BY THE COURT VIA NOTICE OF ELECTRONIC FILING (NEF): The foregoing document was served by the court via NEF and hyperlink to the document. On _____, I checked the CM/ECF docket for this bankruptcy case or adversary proceeding and determined that the following persons are on the Electronic Mail Notice List to receive NEF transmission at the email addresses stated below.					
<input type="checkbox"/>	(BY OVERNIGHT DELIVERY) By sending by FedEx and/or USPS Express Mail to the addressee(s) as indicated on the attached list.					
<input checked="" type="checkbox"/>	(BY MAIL) I am readily familiar with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with the U.S. Postal Service on that same day with postage thereon fully prepaid at San Francisco, California, in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit. <table border="1" style="width: 100%;"> <tr> <td style="width: 50%; text-align: center;">           Leslie M Wallach 34 Hillswood Drive Novato, CA 94947         </td> <td style="width: 50%; text-align: center;">           Alexis T. King Winget Spadafora &amp; Schwartzberg, LLP 1800 Century Park East, Suite 205 Los Angeles, CA 90067         </td> </tr> <tr> <td style="width: 50%; text-align: center;">           Manuel Romero c/o Wendy Thomas TT&amp;E Law Group LLP 6101 West Centinela Avenue, Suite 270 Culver City, CA 90230         </td> <td style="width: 50%; text-align: center;">           Charlene Albanese c/o David Taylor Keller Benvenuti Kim LLP 425 Market Street, 26th Floor San Francisco, CA 94105         </td> </tr> </table>		Leslie M Wallach 34 Hillswood Drive Novato, CA 94947	Alexis T. King Winget Spadafora & Schwartzberg, LLP 1800 Century Park East, Suite 205 Los Angeles, CA 90067	Manuel Romero c/o Wendy Thomas TT&E Law Group LLP 6101 West Centinela Avenue, Suite 270 Culver City, CA 90230	Charlene Albanese c/o David Taylor Keller Benvenuti Kim LLP 425 Market Street, 26th Floor San Francisco, CA 94105
Leslie M Wallach 34 Hillswood Drive Novato, CA 94947	Alexis T. King Winget Spadafora & Schwartzberg, LLP 1800 Century Park East, Suite 205 Los Angeles, CA 90067					
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<input type="checkbox"/>	(BY EMAIL) I caused to be served the above-described document by email to the parties indicated on the attached service list at the indicated email address.					

I declare under penalty of perjury, under the laws of the State of California and the United States of America that the foregoing is true and correct.

Executed on February 21, 2025 at San Francisco, California.

/s/ Oliver Carpio  
Legal Assistant

**ECF COURT SERVICE LIST**

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